

# BLAKELY SOKOLOFF TAYLOR & ZAFMAN

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INTELLECTUAL PROPERTY LAW

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October 17, 2003

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URGENT

VIA FEDEX

03.0220

James R. Thein, Esq.  
James Thein Law Offices  
2231 Crystal Drive  
Crystal Park 3, Suite 105  
Arlington, Virginia 22202

Re: CALIENT NETWORKS, INC.  
U.S. Patent Application for  
Title: ELECTROSTATIC ACTUATOR FOR  
MICROELECTROMECHANICAL SYSTEMS AND  
METHODS OF FABRICATION  
Application No: 09/775,491  
Filed: February 2, 2001  
Our Reference No.: 4341P053

Dear Jim:

Please file the enclosed Information Disclosure Statement by hand carrying it to Examiner Karl Tamai in Group Art Unit 2834 on Monday morning, October 20, 2003. The telephone number of Examiner Tamai is (703) 305-7066.

Please have the postcard stamped and returned to us.

Thank you for your assistance.

Very truly yours,

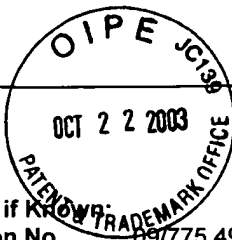
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

A handwritten signature in black ink, appearing to read "Lester J. Vincent".

Lester J. Vincent

LJV/cpm

encl.



# FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known:

Application No. 09/775,491

Filing Date February 2, 2001

First Named Inventor Scott Adams, et al.

Examiner Name Tamai, Karl I.

Art Unit 2834

Attorney Docket No. 4341P053

☐ Applicant claims small entity status. See 37 CFR 1.27.

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

☐ Charge fee(s) indicated below.

☒ Credit any overpayments.

☒ Charge any additional fees during the pendency of this application.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

☐ Charge fee(s) indicated below except for the filing fee.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility application filing fee	_____
1002	340	2002	170	Design application filing fee	_____
1003	530	2003	265	Plant filing fee	_____
1004	770	2004	385	Reissue filing fee	_____
1005	160	2005	80	Provisional application filing fee	_____
SUBTOTAL (1)					\$ <u>0.00</u>

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	_____	- 20** = _____	X _____	= _____
Independent Claims	_____	- 3** = _____	X _____	= _____
Multiple Dependent	_____		_____	= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Code	Fee (\$)	Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 180.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Lester J. VincentSignature:  Date: October 17, 2003Reg. Number: 31,460 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney Docket No. 4341P053

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	)	
	)	Examiner: Tamai, Karl I.
Scott Adams, et al.	)	
	)	Art Unit: 2834
Application No.: 09/775,491	)	
	)	
Filed: February 2, 2001	)	
	)	
For: ELECTROSTATIC ACTUATOR FOR	)	
MICROELECTROMECHANICAL	)	
SYSTEMS AND METHODS OF	)	
FABRICATION	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The Information Disclosure Statement is a resubmittal of references previously sent to the U.S. Patent and Trademark Office as part of previous Information Disclosure Statements for this case.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

10/23/2003 GWORDOF1 00000093 09775491

01 FC:1806

180.00 OP

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ 37 C.F.R. §1.97(b).

XXX 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

XXX A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

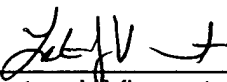
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: October 17, 2003

  
\_\_\_\_\_  
Lester J. Vincent  
Reg. No. 31,460

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

### Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

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<b>Application Number</b>	09/775,491
<b>Filing Date</b>	February 2, 2001
<b>First Named Inventor:</b>	Scott Adams
<b>Art Unit</b>	2834
<b>Examiner Name</b>	Tamai, Karl I.
<b>Attorney Docket Number</b>	4341P053

<b>Sheet</b>	<b>1</b>	<b>of</b>
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		DE 4224601 A1	1/27/1994	Hansmann et al.		
		DE 19757181 A1	07/01/1999	Bosch		
		DE 19800745 A1	07/15/1999	Bosch		
		EP 0 834759 A2	04/08/1998	Dhuler, et al.		
		EP 0 980014 A1	02/16/2000	Meier, et al.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Application Number	09/775,491
Filing Date	February 2, 2001
First Named Inventor:	Scott Adams
Art Unit	2834
Examiner Name	Tamai, Karl I.
Attorney Docket Number	4341P053

Sheet	2	of	7
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 1 120677 A2	Wood et al.	08/01/2001		
		EP 1 120989 A1	Mazzurco et al.	08/01/2001		
		GB 2 175 705 A	12/3/1986	Greenwood et al.		
		GB 2 239 101 A	06/19/1991	Rowe		
		GB 2 275 787 A	09/07/1994	Pember		

Date Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Substitut for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)



Compleat if Known

Application Number	09/775,491
Filing Date	February 2, 2001
First Named Inventor:	Scott Adams
Art Unit	2834
Examiner Name	Tamai, Karl I.
Attorney Docket Number	4341P053

Sheet 3 of 7

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-				
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP	60-107017	6/12/1985	Tanabe et al.		✓
		JP	5-107485 A	4/30/1993	Koyabu et al.		✓
		JP	6-180428	6/28/1994	Toyoda et al.		✓
		WO	94/18697	8/18/1994	Shaw et al.		
		WO	97/04283	2/6/1997	Miller et al.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Application Number	09/775,491
Filing Date	February 2, 2001
First Named Inventor:	Scott Adams
Art Unit	2834
Examiner Name	Tamai, Karl I.
Attorney Docket Number	4341P053

Sheet	4	of	7
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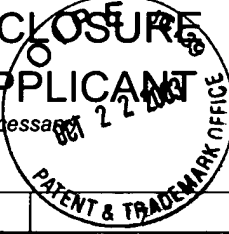
[illegible]

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 99/36941	7/22/1999	Adams et al.		
		WO 99/36948	7/22/1999	Adams et al.		
		WO 99/67666	12/29/1999	Laor		
		WO 01/33898 A2	05/10/2001	Ramaswami et al.		
		WO 01/39413 A2	05/31/2001	Ramaswami et al.		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.  
**SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

Based on Form PTO/SB/08B (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

Substitut for Form 1449/PTO <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>	
			Application Number	09/775,491
			Filing Date	February 2, 2001
			First Named Inventor:	Scott Adams
			Art Unit	2834
			Examiner Name	Tamai, Karl I.
			Attorney Docket Number	4341P053
			Sheet	5

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Robert E. Mihailovich, Z. L. Zhang, K. A. Shaw, and Noel C. MacDonald, "Single-Crystal Silicon Torsional Resonators." School of Electrical Engineering, Cornell University, Ithaca, NY 14853, pp. 184-188, IEEE (February 1993).	
		Timothy J. Broshnihan, James M. Bustillo, Albert P. Pisano & Roger T. Howe, "Embedded Interconnect & Electrical Isolation for High-Aspect-Ratio, SOI Inertial Instruments," Berkeley Sensor & Actuator Sensor, pp. 637-640, Transducers '97, 1997 International Conference on Solid-State Sensors and Actuators (Chicago, June 16-19, 1997)	
		Janusz Bryzek, Kurt Petersen, & Wendell McCulley, "Micromachines on the March," IEEE Spectrum, pp. 20-31 (May 1994)	
		Wolfgang Kuehnel and Steven Sherman, "A Surface Micromachined Silicon Accelerometer with On-Chip Detection Circuitry," Sensors and Actuators A 45, pp. 7-16 (1994)	
		Howard K. Rockstad, et al., "A Miniature High-Sensitivity Broadband Accelerometer Based on Electron Tunneling Transducers," Sensors and Actuators A 43, pp. 107-114 (1994)	
		Lynn Michelle Roylance and James B. Angell, "A Batch-Fabricated Silicon Accelerometer," IEEE Transactions on Electron Devices, Vol. Ed-26, No. 12, pp. 1911-1917 (December 1979)	
		Kevin A. Shaw, et al., "SCREAM I: A Single Mask, Single-Crystal Silicon, Reactive Ion Etching Process for Microelectromechanical Structures," Sensors and Actuators A, 40 pp. 63-70 (1994)	
		Toshiki Hirano, et al., "Design, Fabrication, and Operation of Submicron Gap Comb-Drive Microactuators," J. of Microelectromechanical Systems, Vol. 1, No. 1, pp. 52-59, (March 1992)	
		V.P. Jaecklin, et al., "Comb Actuators for XY-Microstages," Sensors and Actuators, A, 39, pp. 83-89 (1993)	
		Susanne C. Arney and Noel C. MacDonald, et al., "Formation of Submicron Silicon-On-Insulator Structures by Lateral Oxidation of Substrate-Silicon Islands," J. Vac. Sci. Technol. B Vol. 6 No. 1, pp. 341-345, (Jan/Feb 1988)	
		Diederick W. de Bruin, et al., "Second-Order Effects in Self-Testable Accelerometers," IC Sensors, IEEE, pp. 149-152 (1990)	

Examiner Signature		Date Considered	
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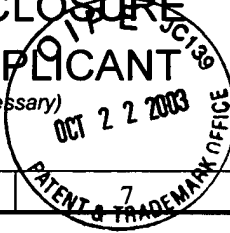
\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>			<b>Complete if Known</b>	
			Application Number	09/775,491
			Filing Date	February 2, 2001
			First Named Inventor:	Scott Adams
			Art Unit	2834
			Examiner Name	Tamai, Karl I.
			Attorney Docket Number	4341P053
Sheet	6	of	7	



NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		"Lucent's New All-Optical Router Uses Bell Labs Microscopic Mirrors," Bells Labs press release, pp. 1-4, November 10, 1999. <a href="http://www.bell-labs.com/news/1999/november/10/1.html">http://www.bell-labs.com/news/1999/november/10/1.html</a>	
		Rudolf F. Graf, Radio Shack Dictionary of Electronics, p. 639, Definition of Varibale Capacitor with Picture (1974)	
		M. Adrian Michalicek, Wenge Zhang, Kevin F. Harsh, Victor M. Bright, and Y.C. Lee, "Micromirror Arrays Fabricated by Flip-Chip Assembly," Part of the SPIE Conference on Miniaturizes Systems with Micro-Optics and MEMs, Santa Clara, SPIE Vol. 3878, pp. 68-79 (September 1999)	
		Chris Seung-Bok Lee, Sejin Han, Noel C. MacDonald, "Multiple Depth, Single Crystal Silicon MicroActuators for Large Displacement Fabricated by Deep Reactive Ion Etching," Solid-State Sensor and Actuator Workshop, Hilton Head Island, SC, pp. 45-50 (June 8-11, 1998)	
		Russell Y. Webb, Scott G. Adams, and Noel C. MacDonald, "Suspended Thermal Oxide Trench Isolation for SCS MEMS." SPIE Vol. 3519, Boston, MA, pp. 196-199 (November 1998)	
		Seung Chris B. Lee, "Two-Depth, Single Crystal Silicon Microelectromechanical Systems." A Dissertation Presented to the Faculty of the Graduate School of Cornell University in Partial Fulfillment of the Requirements for the Degree of Doctor of Philosophy, (January 2000)	
		Leslie A. Field, Diane L. Burriesci, Peter R. Robrish, and Richard C. Ruby, "Micromachined 1 X 2 Optical-Fiber Switch," Sensors and Actuators A, 53, pp. 311-315, (1996)	
		Larry J. Hornbeck, "Digital Light Processing for High-Brightness, High-Resolution Applications," SPIE Vol. 3013, San Jose, CA, pp. 27-40 (February 1997)	
		Noel C. MacDonald, "SCREAM MicroElectroMechanical Systems." Microelectronic Engineering, 32, pp. 49-73 (1996)	
		Ming C. Wu, "MEMS for Optical and RF Applications." UCLA Extension, Department of Engineering, Information Systems and Technical Management, Engineering: 823.52, November 1-3, 1999.	
		Wolfgang Hofmann, Chris S. Lee, and Noel C. MacDonald, "Monolithic Three-Dimensional Single-Crystal Silicon Microelectromechanical Systems, Sensors and Materials, Vol. 10, No. 6, pp. 337-350 (1998)	

Examiner Signature	Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/775,491
		Filing Date	February 2, 2001
		First Named Inventor:	Scott Adams
		Art Unit	2834
		Examiner Name	Tamai, Karl I.
		Attorney Docket Number	4341P053
Sheet	7	of	

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		William C. Tang, Martin G. Lim, and Roger T. Howe, "Electrostatic Comb Drive Levitation and Control Method" Journal of Microelectromechanical Systems, Vol. 1, No. 4, pp. 170-178 (December 1992)	
		PCT Notification of Transmittal of The International Search Report or The Declaration for PCT Counterpart Application No. PCT/US01/03760 Containing International Search Report (September 5, 2001)	
		M.C. Wu, L.-Y. Lin, S.-S. Lee, and K.S.J. Pister, "Micromachined Free-Space Integrated Micro-Optics," Sensors and Actuators A, 50, pp. 127-134 (1995)	
		Joseph E. Ford, Vladimir A. Aksyuk, David J. Bishop, and James A. Walker, "Wavelength Add-Drop Switching Using Tilting Micromirrors," Journal of Lightwave Technology, Vol. 17, No. 5, pp. 904-911 (May 1999)	
		Cornel Marxer and Nicolaas F. de Rooij, "Micro-Opto-Mechanical 2 x 2 Switch for Single-Mode Fibers Based on Plasma-Etched Silicon Mirror and Electrostatic Actuation," Journal of Lightwave Technology, Vol. 17, No. 1, pp. 2-6 (January 1999)	
		D.T. Neilson, V.A. Aksyuk, S. Arney, N.R. Basavanthally, K.S. Bhalla, D.J. Bishop, B.A. Boie, C.A. Bolle, J.V. Gates, A.M. Gottlieb, J.P. Hickey, N.A. Jackman, P.R. Kolodner, S.K. Korotky, B. Mikkelsen, F. Pardo, G. Raybon, R. Ruel, R.E. Scotti, T.W. Van Blarcum, L. Zhang, and C.R. Giles, "Fully Provisional 112 x 112 Micro-Mechanical Optical Crossconnect With 35.8Tb/s Demonstrated Capacity," OFC 2000 Tech. Dig., Baltimore, Maryland, pp. 202-204 (March 7-10, 2000)	

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